



Substance Use Disorder (SUD)

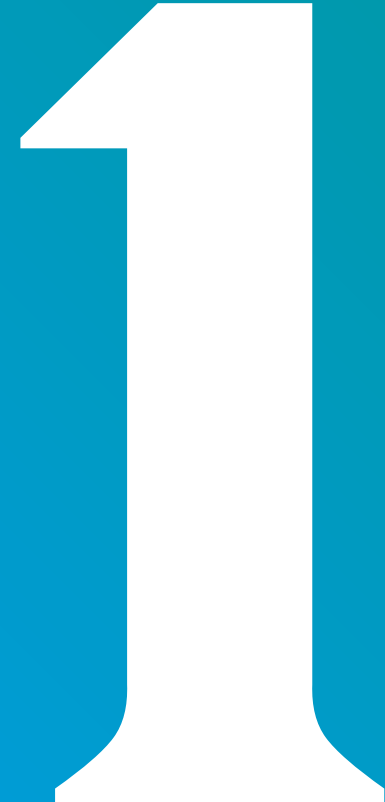
1115 Demonstration Waiver

Agenda and Goals

- 1 Background/Context: What is an 1115 SUD Waiver and why will this help Connecticut?
- 2 1115 Waiver Requirements: What is required in an 1115 SUD Waiver?
- 3 Questions and Comments

Background/Context

What is an 1115 SUD Waiver?



Background/Context

What is an 1115 Substance Use Disorder Waiver?

This request allows Connecticut to receive federal money for large substance use disorder (SUD) residential and hospital treatment facilities that historically the State funded

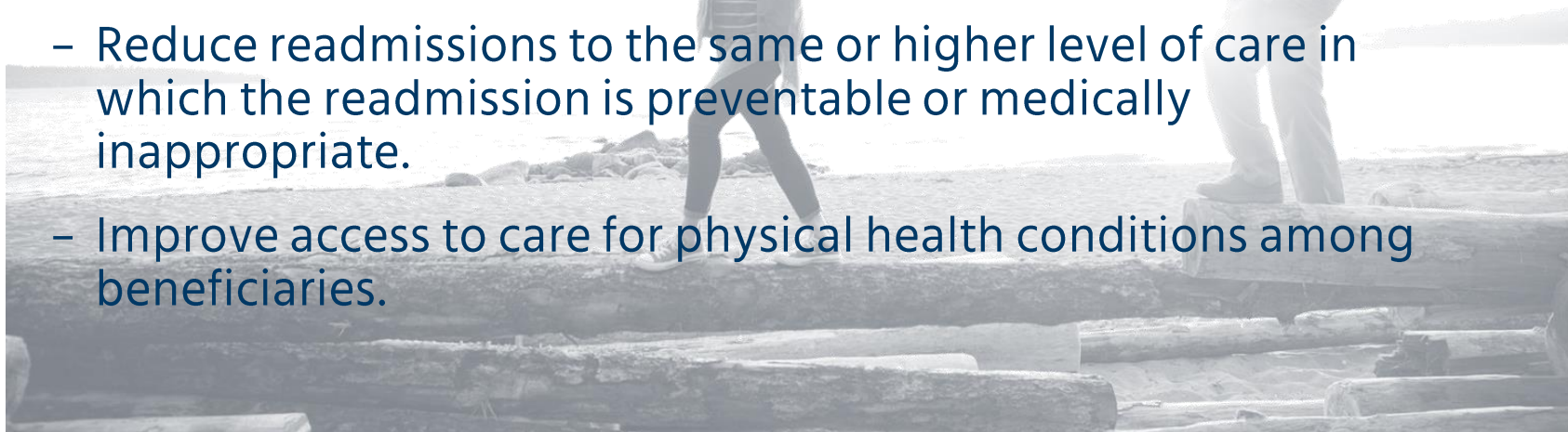
The waiver allows Medicaid funding in ways **not otherwise allowed** under federal rules

This waiver requires Connecticut to improve **access to and delivery of all substance use disorder treatment** in order to receive this new funding

Background/Context

Goals of the Waiver

- Goals include:
 - Increase rates of identification, initiation and engagement in treatment.
 - Increase adherence to and engagement in treatment.
 - Reduce overdose deaths, particularly those due to opioids.
 - Reduce utilization of emergency departments and inpatient hospital settings for treatment in which the utilization is preventable or medically inappropriate through improved access to other continuum of care services.
 - Reduce readmissions to the same or higher level of care in which the readmission is preventable or medically inappropriate.
 - Improve access to care for physical health conditions among beneficiaries.



Background/Context

Waiver Timeline



Background/Context

Effect of the Waiver



New federal funds for Connecticut SUD treatment



New public metrics measuring the success of Connecticut SUD treatment



New provider standards and patient placement criteria consistent with the latest national evidence-based guidelines



Expanded Medicaid benefits covering the full continuum of outpatient, residential and inpatient SUD treatment



No change to Medicaid eligibility or eligibility for services

Waiver Requirements

What is required in an 1115 SUD Waiver?



1115 SUD Waiver Requirements

Submission Requirements



- State strategies for addressing the goals and milestones.
- A plan to address opioid abuse, including improving access to treatment and recovery support services and coordination with other state SUD activities.
- A description of the delivery system, eligibility requirements, and cost sharing (these do not vary from the existing Medicaid program).
- A description of the new benefits that will be offered
- A list of the waivers and authorities requested from CMS.
- An estimate of annual aggregate expenditures and enrollment data.
- Documentation of the State's compliance with the public notice, issues raised and how the state considered those comments in the final demonstration application.
- The research hypotheses related to the demonstration's proposed changes.
- Implementation plan.

1115 SUD Waiver Requirements Milestones



CMS SUD 1115 MILESTONES

1. Access to critical levels of care for OUD and other SUDs including:

- outpatient
- intensive outpatient services
- medication-assisted treatment (MAT) [medications, as well as counseling and other services with sufficient provider capacity to meet needs of Medicaid beneficiaries in the state]
- intensive levels of care in residential and inpatient settings and
- medically supervised withdrawal management

2. Use of evidence-based, SUD-specific patient placement criteria

- Implementation of requirement that **providers assess treatment needs** based on SUD-specific, multidimensional assessment tools such as the American Society of Addiction Medicine (ASAM) criteria or other patient placement assessment tools which reflect evidence-based clinical treatment guidelines.
- Implementation of a **utilization management approach** such that:
 - a) beneficiaries have **access to SUD services at the appropriate level of care**
 - b) interventions** are appropriate for the diagnosis and level of care and
 - c) there is an **independent process for reviewing placement in residential treatment settings**

1115 SUD Waiver Requirements

Milestones

CMS SUD 1115 MILESTONES

3. Use of **nationally recognized SUD-specific program standards to set provider qualifications for residential treatment facilities**

- Implementation of **residential treatment provider qualifications** in licensure requirements, policy manuals, or other guidance. Qualification should meet program standards in the ASAM criteria, or other nationally recognized, evidence-based SUD-specific program standards regarding, in particular, the types of services, hours of clinical care and credentials of staff for residential treatment settings
- **Implementation of state process for reviewing residential treatment providers** to ensure compliance with these standards
- Requirement that **residential treatment facilities offer MAT on site or facilitate access off site.**

4. Sufficient **provider capacity at critical levels of care**, including for medication-assisted treatment for OUD

- Completion of **assessment of the availability of providers enrolled in Medicaid and accepting new patients** in the critical levels of care throughout the State (or at least in participating regions of the state), including those that offer MAT

1115 SUD Waiver Requirements

Milestones

CMS SUD 1115 MILESTONES

5. Implementation of **comprehensive treatment and prevention strategies to address opioid abuse and OUD**
 - Implementation of **opioid prescribing guidelines**, along with other interventions, to prevent opioid abuse
 - Expanded **coverage of, and access to, naloxone** for overdose reversal
 - Implementation of strategies to increase utilization and improve functionality of **prescription drug monitoring programs**
6. Improved **care coordination and transitions between levels of care**
 - Implementation of policies to ensure **residential and inpatient facilities link beneficiaries**, especially those with OUD, with community-based services and supports following stays in these facilities

1115 SUD Waiver Requirements Implementation Plan



- The high-level implementation plan addresses how the State will meet the goals and milestones, and includes the following for each milestone:
 - A summary of how the State already meets each milestone and/or any actions needed to meet each milestone, including the persons responsible for completing actions.
 - The timelines and activities the State will undertake to achieve the milestones.
 - An overview of any future plans to improve beneficiary access to SUD services and promote quality and safety standards.

Questions?

Comments?

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Acronyms

ASAM	American Society of Addiction Medicine	LOC	Level of Care
BH	Behavioral Health	MAT	Medication Assisted Treatment
CMS	Centers for Medicare & Medicaid Services	MEG	Medicaid Eligibility Groups
CPMRS	Connecticut Prescription Monitoring & Reporting System	MH	Mental Health
CSII	Controlled Substance Schedule II	OHS	Office of Healthcare Strategy
DCF	Department of Children and Families	OPM	Office of Policy and Management
DCP	Department of Consumer Protection	ODU	Opioid Use Disorder
DMHAS	Department of Mental Health and Addiction Services	PDMP	Prescription Drug Monitoring Program
DSS	Department of Social Services	PRTF	Psychiatric Residential Treatment Facility
DY	Demonstration Year	SBIRT	Screening, Brief Intervention and Referral to Treatment
EMPI	Enterprise Master Person Index	SED	Seriously Emotionally Disturbed
ERR	Electronic Health Record	SMHP	State Medicaid Health IT Plan
FFP	Federal Financial Participation	SMI	Seriously Mentally Ill
HIE	Health Information Exchange	SPA	State Plan Amendment
HIT	Health Information Technology	SSI	Supplemental Security Income
ID	Identification	SUD	Substance Use Disorder
IMD	Institutes for Mental Disease	TANF	Temporarily Assistance to Needy Families
IP	Inpatient	WM	Withdrawal Management
ISA	Interoperability Standards Advisory		